

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors:

Peter G. Borden; Jiping Li; Jon Madsen

Assignee:

Boxer Cross

Title:

Calibration As Well As Measurement On The Same Workpiece During

Fabrication

Serial No.:

09/974,571

Filing Date:

Oct. 9, 2001

Examiner:

Unknown

Group Art Unit:

2877

Docket No.:

BOX013 US

Confirmation No:

1003

Santa Clara, California January 05, 2004

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, §1.97 and §1.98, the Applicants submit for consideration in the above-identified patent application the document listed on the accompanying Form PTO-1449. Copies of references numbered 52-86 are submitted herewith. The Examiner is requested to make these documents of record. The remaining references are not attached hereto, because these references are issued patents or publications which are readily available in the U.S. Patent and Trademark Office.

In addition, Applicants submit, for the Examiner's consideration, the prosecution histories of the following co-owned applications/patents, cited by serial number, first named inventor and filing date. The Applicants presume that the Examiner has access to and will review the applications/patents and the files thereof for any office actions, amendments or other materials that may be relevant to the patentability of the claims of the present application.

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2350 Mission College Blvd. Suite 360 Santa Clara, CA 95054 (408) 982-8200 FAX (408) 982-8210 Citation of these prosecution histories (including the arguments against patentability advanced by Examiners in their respective Office Actions and the Applicants' arguments in the corresponding Amendments) is in accordance with the recent case DAYCO PRODUCTS, INC. v. TOTAL CONTAINMENT, INC., 02-1497, decided May 23, 2003 by the Court of Appeals for the Federal Circuit. The Examiner is presumed to be knowledgeable about the current case law, including the above-mentioned Dayco case. However, if the Examiner needs a copy of the Dayco case, please call the undersigned.

For any such U.S. patent application(s) that are currently pending, the Applicants further presume that the Examiner will consider any future office actions, amendments or other materials in the file thereof that may be relevant to the patentability of the claims herein.

If the Applicants' understanding in this regard is not correct places notify the

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undersigned so that copies of any such documents can be submitted to the Examiner.

Serial No.:		First Named Inventor	Date:
1.	10/090,316	Peter G. Borden	03/01/2002
2.	10/090,287	Peter G. Borden	03/01/2002
3.	09/521,232	Peter G. Borden	03/08/2000
4.	10/090,262	Peter G. Borden	03/01/2002
5.	09/788,273	Jiping Li	02/16/2001
6.	10/722,724	Peter G. Borden	11/25/2003
7.	09/095,805	Peter G. Borden	06/10/1998
8.	09/095,804	Peter G. Borden	06/10/1998
9.	09/544,280	Peter G. Borden	04/06/2000
10.	10/269,619	Peter G. Borden	10/11/2002
11.	10/253,119	Peter G. Borden	09/23/2002
12.	10/253,121	Peter G. Borden	09/23/2002
13.	09/274,821	Peter G. Borden	03/22/1999
14.	09/935,128	Peter G. Borden	08/21/2001
15.	09/994,441	Peter G. Borden	11/26/2001
16.	10/223,952	Peter G. Borden	08/19/2002
17. 09/799,481 Peter G. Borden 03/05/200		03/05/2001	

This Information Disclosure Statement is submitted pursuant to 37 CFR §1.97(b) as it within three months of the filing date of a national application other than a continued prosecution application and/or before the mailing of a first Office Action on the merits. Accordingly, no fee is required.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has

SILICON VALLEY PATENT GROUP LLP 2350 Mission College Blvd. Suite 360 Santa Clara, CA 95054 (408) 982-8200 FAX (408) 982-8210 been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

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Respectfully submitted,

Omkar K. Suryadevara Attorney for Applicants

Reg. No. 36,320

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U.S. Department of Commerce, Patent and Trademark Office	Application No.:	09/974,571
O P Co	Filing Date:	October 9, 2001
	First Named Inventor:	Peter G. Borden
JAN 0 5 2094	Group Art Unit:	2877
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Examiner Name:	Unknown
(Use several sheets if necessary)	Confirmation No.:	1003
	Attorney Docket No.:	BOX013 US

			U.S. Pa	itent Documents			
*Examiner Intials		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	4,273,421	6/16/81	Gurtler	356	432	
	2.	4,854,710	8/8/89	Opsal et al.	356	432	
	3.	4,211,488	7/8/80	Kleinknecht	356	433	
	4.	5,379,109	1/3/95	Gaskill et al.	356	445	
	5.	6,489,801	12/3/02	Borden et al.	324	766	
•	6.	5,966,019	10/12/99	Borden	324	752	
	7.	5,377,006	12/27/94	Nakata	356	349	
	8.	5,706,094	1/6/98	Maris	356	432	
	9.	6,118,533	9/12/00	Banet et al.	356	345	
	10.	6,323,951	11/27/01	Borden et al.	356	502	
	11.	6,426,644	7/30/02	Borden et al.	324	765	
	12.	4,952,063	8/27/90	Opsal et al.	356	432	
	13.	5,042,952	827/1991	Opsal et al.	356	432	
	14.	5,159,412	10/27/92	Willenborg et al.	356	445	
	15.	5,181,080	1/19/93	Fanton et al.	356	381	
	16.	5,228,776	7/20/93	Smith et al.	374	5	
	17.	4,255,971	3/17/81	Rosencwaig	73	606	
	18.	4,579,463	4/1/86	Rosencwaig et al.	374	57	
·	19.	4,632,561	12/30/86	Rosencwaig et al.	356	432	
-	20.	4,636,088	1/13/87	Rosencwaig et al.	374	5	
<u>.</u>	21.	4,750,822	6/14/88	Rosencwaig et al.	324	445	·
	22.	4,513,384	4/23/95	Rosencwaig	364	563	
	23.	6,049,220	4/11/00	Borden et al.	324	765	
*** · · · · · · · · · · · · · · · · · ·	24.	6,483,594	11/19/02	Borden et al.	356	502	
	25.	5,652,716	7/29/97	Battersby	703	13	

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Date Considered:

^{*} Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication with applicant.

U.S. pepartment of Commerce, Patent and Trademark Office

JAN 0 5 2004

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

	511CCL 2 01 4
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	26.	5,761,082	6/2/98	Miura-Mattausch	703	14	
	27.	4,996,659	2/26/91	Yamaguchi et al.	714	736	
	28.	6,154,280	11/2/00	Borden	356	376	
	29.	6,054,868	4/25/00	Borden et al.	324	752	
	30.	5,883,518	3/16/99	Borden	324	752	
	31.	5,877,860	3/2/99	Borden	356	376	
	32.	4,652,757	3/24/87	Carver	250	360	
	33.	5,978,074	11/2/99	Opsal et al.	356	72	
•	34.	6,211,961	4/3/03	Maris	356	432	
	35.	6,268,916	7/31/01	Lee et al.	356	432	
•	36.	6,169,601	1/2/01	Eremin et al.	356	240	
	37.	2002/0126732A1	9/12/02	Shakouri et al.	374	130	
	38.	4,201,087	5/6/80	Akita et al.	73	339	
	39.	2003/96436A1	5/22/03	Satya et al.	438	11	·-
	40.	6,486,965	11/26/02	Kim	356	626	*****
	41.	5,741,614	4/21/98	McCoy et al.	430	30	
	42.	6,327,035	12/4/01	Li et al.	356	432	
	43.	5,454,004	9/26/95	Leger	372	99	•
	44.	6,281,027	9/28/01	Wei et al.	438	14	
·	45.	4,521,118	06/00/85	Rosencwaig	374	5	
	46.	5,074 669	12/1/91	Opsal	356	447	# W.
	47.	5,764,363	6/9/98	Ooki et al.	356	364	
	48.	5,657,754	8/19/97	Rosencwaig	128	633	
- 410-5	49.	4,634,290	1/6/87	Rosencwaig	374	5	
	50.	4,552,510	6/11/85	Rosencwaig	374	7	
	51.	4,571,685	02/18/86	Kamoshida	364	468	

Date Considered:

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-			Foreign	Patent Documents				· · · · · · · · · · · · · · · · · · ·
							Tran	slation
-		Document	Date	Country	Class	Subclass	Yes	No
	52.	99/94880	12/16/1999	PCT	G01R	31/26		
	53.	00/07357	3/20/2000	PCT	G01L	21/17		
		Other Ar	t (Including Autho	or, Title, Date, Pertinent Page	es, Etc.)		<u> </u>	J
4	54.	Rosencwaig et al. '1985, pp1013-1013		rmal Waves Through Optical	Reflectance"	, Appl Phys.	Lett. 46,	June
Ū	55.	Rosencwaig, "The	mal-Wave Imagir	ng", SCIENCE, Volume 218,	No. 4569, O	ct. 1982, pp.2	23-228	
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	58.		Constantinos Christofides "Photomodulated Thermoreflectance Investigation of Semiconducting Implanted Wafers," Microelectronic Engineering, 40 (1998), 251-261.					
	59.	W. L. Smith et al. "Ion Implant Monitoring With Thermal Wave Technology," Nuclear Instruments and Methods Physics Research, B21, (1987), 537-541.						
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	Guality Today News, article entitled "In-Line Metrology SEM System with 3D Imaging" dated January 10, 2000 and published at http://www.qualitytoday.com/Jan-00-news/011000-3.htm before April 4, 2001							
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	66.	A. Rosencwaig et a October 1983, pp.		ickness Measurements with	Thermal Wave	es", Journal D	e Physic	que,
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